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10/724,817	11/24/2003	Lars Risbo	TI-34411	2748
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EXAMINER				
GHULAMALL QUTBUDDIN				
ART UNIT		PAPER NUMBER		
2611				
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

uspto@ti.com

Office Action Summary

Application No.

10/724,817

Applicant(s)

RISBO ET AL.

Examiner

Qutbuddin Ghulamali

Art Unit

2611

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 26 January 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-26 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 9-12 is/are allowed.
- 6) ☒ Claim(s) 1-8, 13-22, 25-26 is/are rejected.
- 7) ☒ Claim(s) 23 and 24 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SI/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

1. This office action is in response to amendment filed 06/14/2008.

Response to Arguments

2. Applicant's remarks with respect to claims 1-26, as amended, have been considered but are moot in view of the new ground(s) of rejection. The rejection follows.

Claim Objections

3. Claim 3 is objected to because of the following informalities: Claim 3 recites "conversion system" in lines 1 and 2. However, it should be replaced with -- DAC --. Appropriate correction is required.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-3, 4, 13-16 are rejected under 35 U.S.C. 103 (a) as being unpatentable over Ihs et al (USP 7,245,246) in view of Sheen (USP 6,404,369).

Regarding claims 1, 2, His discloses a compensation system programmed to mitigate errors, associated with a digital-to-analog converter (DAC), the compensation system comprising:

a digital error model (fig. 6, element 350) programmed to provide an emulated error signal as a function of an input signal that is provided to the DAC (340), the input signal being quantized in a predetermined number of one or more levels (330), the digital error model parameterized by an error coefficient vector that includes a plurality of error coefficients, at least a portion of the plurality of error coefficients are adaptively adjusted based at least in part on the input signal of to the system DAC to emulate error characteristics associated with the DAC (col. 1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40). However, His does not disclose what Sheen discloses "a memory that stores a digital error model" (col. 4, lines 60-67; col. 5, lines 1-11). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use memory for storing of error of the model as taught by Sheen in the system of lhs because it can allow model to predict and emulate transient errors of the DAC.

Regarding claim 3, lhs discloses the signal of the DAC is an output signal (fig. 10, element 760).

Regarding claim 4, lhs discloses a compensation system programmed and/or configured to mitigate errors in conversion comprising:
a digital error model (fig. 6, element 350) configured to provide an emulated error signal as a function of an function of an input signal that is quantized in a predetermined

number of one or more levels, the digital error model having parameters adaptively adjusted based on a signal of the conversion system to emulate (produce) error characteristics associated with at least a portion of the conversion system (A/D conversion system) (col. 1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40), and the parameters of the digital error model being adapted to converge to respective values that substantially minimize errors in an output signal of the conversion system over a plurality of iterations (col. 5, lines 43-64).

Regarding claim 13, Ihs discloses all limitations of the claim above. Ihs further discloses a DAC (340) coupled to receive the input signal that is quantized in the predetermined number of levels and to convert the input signal to a corresponding analog output signal, the error characteristics being error.

Regarding claim 14, Ihs discloses a noise and error shaping filter (filter 310) that receives a digital signal and provides a filtered digital signal (34) for conversion into the corresponding analog signal;
a quantizer (A/D converter 330) that provides a quantized signal to DAC (340) based on filtered digital signal, and provide emulated error signal shaping module as a function of quantized signal (col. 6, lines 26-67).

Regarding claim 15, Ihs discloses a compensation system programmed and/or configured to mitigate errors in conversion comprising:
a digital error model (350) configured to provide an emulated error signal as a function of an input signal that is quantized in a predetermined number of one or more levels, the digital error model having parameters adaptively adjusted based on a

signal of the conversion system to emulate (produce) error characteristics associated with at least a portion of the conversion system (A/D conversion system 330) (col. 1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40); a DAC (340) coupled to receive the input signal that is quantized in the predetermined number of levels and to convert the input signal to a corresponding analog output signal, the error characteristics being error characteristics associated with the DAC (col. 1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40); and a calibration system that calibrates the parameters of the digital error model in a calibration mode based on content of an output signal of the conversion system in response to a calibration signal provided to the conversion system (col. 8, lines 5-40).

Regarding claim 16, Ihs discloses a compensation system programmed and/or configured to mitigate errors in conversion comprising:

a digital error model (350) configured to provide an emulated error signal as a function of an input signal that is quantized in a predetermined number of one or more levels, the digital error model having parameters adaptively adjusted based on a signal of the conversion system to emulate (produce) error characteristics associated with at least a portion of the conversion system (A/D conversion system 330) (col. 1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40); a DAC (340) coupled to receive the input signal that is quantized in the predetermined number of levels and to convert the input signal to a corresponding analog output signal, the error characteristics being error characteristics associated with the DAC (col.

1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40);

a calibration system that calibrates the parameters of the digital error model in a calibration mode based on content of an output signal of the conversion system in response to a calibration signal provided to the conversion system (col. 8, lines 5-40);
an analog filter to remove out of band frequencies and quantize signal from corresponding analog output filtered signal (fig. 10, element 710).

6. Claim 17 are rejected under 35 U.S.C. 103 (a) as being unpatentable over Ihs et al (USP 7,245,246) in view of Sooch et al (USP 5,061,925).

Regarding claim 17, Ihs discloses a compensation system programmed and/or configured to mitigate errors in conversion comprising:
a digital error model (350) configured to provide an emulated error signal as a function of an input signal that is quantized in a predetermined number of one or more levels, the digital error model having parameters adaptively adjusted based on a signal of the conversion system to emulate (produce) error characteristics associated with at least a portion of the conversion system (A/D conversion system) (col. 1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40); a DAC (340) coupled to receive the input signal that is quantized in the predetermined number of levels and to convert the input signal to a corresponding analog output signal, the error characteristics being error characteristics associated with the DAC (col.

1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40);

a calibration system that calibrates the parameters of the digital error model in a calibration mode based on content of an output signal of the conversion system in response to a calibration signal provided to the conversion system (col. 8, lines 5-40). Ihs does not disclose at least two capacitors for DAC. However, Sooch discloses a plurality of capacitors (fig. 4, switched capacitor stages 110, 112, 114 and 116) (col. 6, lines 46-68; col. 7, lines 1-18). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use capacitors as taught by Sooch in the system of Ihs because it can provide effective mitigation in error with the digital error signals.

Regarding claim 18, Ihs discloses a compensation system programmed and/or configured to mitigate errors in conversion comprising:
a digital error model (340) configured to provide an emulated error signal as a function of an function of an input signal that is quantized in a predetermined number of one or more levels, the digital error model having parameters adaptively adjusted based on a signal of the conversion system to emulate (produce) error characteristics associated with at least a portion of the conversion system (A/D conversion system) (col. 1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40); a DAC (340); a noise shaping filter (310) that receives an analog input signal (col. 6, lines 26-33); a DAC (340) coupled to receive the input signal that is quantized in the predetermined number of levels and to convert the input signal to a corresponding

analog output signal, the error characteristics being error characteristics associated with the DAC (col. 1, lines 62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40);

a calibration system that calibrates the parameters of the digital error model in a calibration mode based on content of an output signal of the conversion system in response to a calibration signal provided to the conversion system (col. 8, lines 5-40).

Regarding claims 19-22, 25, and 26, lhs discloses comb filter provide filtering output signal of the conversion system to provide residual error signal substantially free of out-band frequencies (col. 6, lines 26-67); a calibration system that calibrates and estimates the parameters of the digital error model in a calibration mode based on content of an output signal of the conversion system in response to a calibration signal provided to the conversion system (col. 8, lines 5-40).

7. Claims 5, 6, 7, 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over lhs et al (USP 7,245,246) in view of Endres et al (US Pub. 2004/0190649).

Regarding claims 5-8, lhs discloses a compensation system programmed and/or configured to mitigate errors in conversion comprising:
a digital error model (340) configured to provide an emulated error signal as a function of an function of an input signal that is quantized in a predetermined number of one or more levels, the digital error model having parameters adaptively adjusted based on a signal of the conversion system to emulate (produce) error characteristics associated with at least a portion of the conversion system (A/D conversion system) (col. 1, lines

62-67; col. 2, lines 1-53; col. 6, lines 26-67; col. 7, lines 26-50; col. 8, lines 27-40). Ihs does not explicitly disclose a splitter to divide the input signal into a plurality of intermediate signals and a multi input single output (multiplexer) to combine the intermediate signals for providing the emulated error signal. However, Endres discloses a splitter operative to divide the input signal into plural intermediate signals (page 12, section 0179) ; and a multi-input single output system (multiplexer 910) that employs the parameters of the digital error model to combine the intermediate signals for providing the emulated error signal (page 12, section 0179). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to use a splitter to split the signal and use a multiplexer to multiplex the plurality of intermediate split signals to combine to produce an error signal as taught by Endres in the system of Ihs because it can effectively provide or create an error signal for compensation of the input signal minimize error in the overall output signal.

Allowable Subject Matter

8. Claims 23, 24 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
9. Claims 9-12 allowed.

Conclusion

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Qutbuddin Ghulamali whose telephone number is (571)-272-3014. The examiner can normally be reached on Monday-Friday, 7:00AM - 4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chieh M. Fan can be reached on (571) 272-3042. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

QG.
September 28, 2008.

/Chieh M Fan/
Supervisory Patent Examiner, Art Unit 2611